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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066.310	02/01/2002	Ron Newbold	PRL-6-CIP

Timothy A. Cassidy, Esq.  
Dority & Manning, Attorneys at Law, P.A.  
P.O. Box 1449  
Greenville, SC 29602



CONFIRMATION NO. 2364

## FORMALITIES LETTER



\*OC000000007571321\*

Date Mailed: 03/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$18.  
    ■ \$18 for 1 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 888.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but

06/18/2002 MAHMD1 00000046 041403 10066310


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130.00 OP01 FC:101  
02 FC:103  
03 FC:105

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- should contain as few words as possible ( see 37 CFR 1.84(o));
- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2021-01-06 10:06:10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85**

**In re Application of:**

Serial No.: Ronald Newbold, et al.  
10/066,310

Filed: February 2, 2002

Confirmation No.: 2364

Title: A Fire Assembly for Recessed Light Fixtures

Attention: Applicant's Branch

Date: June 4, 2002

Attorney Docket No.: 04-1403

Art Unit: Unknown

Our Account No.: 04-1403

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.  
☐ This is a Rule 47 filing per attached Petition.  
☒ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.  
☐ Specification originally filed in non-English language; hence verified translation attached of:  
☐ Abstract ☐ pages of Specification (only spec. & claims) ☐ Drawing Figs.  
☐ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11  
☒ Attached is an assignment to Progress Lighting  
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).  
\_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_, respectively.  
Certified copy (copies) ☐ attached; ☐ already filed on \_\_\_\_\_ in U.S. Application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: \_\_\_\_\_ Verified Statement(s) establishing "small entity."  
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.  
☒ Attached: \_\_\_\_\_ Corrected Formal Drawings; and Recordation Form Cover Sheet  
☐ Preliminary Amendment: \_\_\_\_\_

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED  
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee .....	\$	710.00
Total Effective Claims <u>21</u> - 20 = <u>1</u> x \$18.00 =	\$	18.00
Independent Claims <u>3</u> - 3 = <u>0</u> x \$84.00 =	\$	0.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00	\$	0.00
Filing Fee	\$	728.00

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of May 4, 2002, petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (**1 month \$110**; 2 months \$400; 3 months \$920; 4 months \$1440).

If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$	130.00
Subtotal	\$	968.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u>	\$	-
Total =	\$	968.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00	\$	-
If "assignment" box is X'd, add recording fee (\$40.00)	\$	40.00
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h)	\$	-
<b>TOTAL FEE ENCLOSED</b>	\$	<b>1008.00</b>

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

ADDRESS:

Post Office Box 1449  
Greenville, South Carolina 29602  
Phone: 864-271-1592  
Facsimile: 864-233-7342

**DORITY & MANNING**

**ATTORNEYS AT LAW, P.A.**

By Atty: Timothy A. Cassidy Reg. No. 38,024

Signature: [Signature]

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on

June 4, 20, 2002

Yolande R. Cook

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

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